

Doc #14
Jan

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).	Application Number	09/824,175
	Filing Date	March 30, 2001
	First Named Inventor	Scott Shyh Guang YEN
	Group Art Unit	3623
	Examiner Name	Michael C. Heck
	Attorney Docket Number	002854-23

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Preliminary Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Submission of Formal Drawings

v. ☒ Petition for **ONE**-month Extension of Time

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, **\$440.00**, additional fees which may be required, or credit any overpayments, to Deposit Account No. 19-2380. A duplicate copy of this document is enclosed.

i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Brian C. Oakes	Registration No. (Attorney/Agent)	41,467
Signature	<i>Brian C. Oakes</i>	Date	August 20, 2004

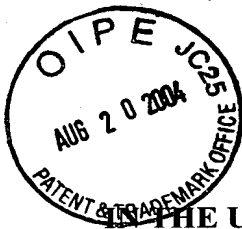
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at _____, on _____.	
Signature:	
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Attorney Docket No. 002854-23

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)	
Scott Shyh Guang YEN)	Group Art Unit: 3623
Application No. 09/824,175)	Confirmation No.: 8273
Filed: March 30, 2001)	Examiner: Michael C. Heck
For: METHOD FOR INCORPORATING)	
HUMAN-BASED ACTIVITIES IN)	August 20, 2004
BUSINESS PROCESS MODELS)	

PRELIMINARY AMENDMENT

Mail Stop RCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated April 20, 2004 and Advisory Action dated August 11, 2004, reconsideration and allowance of the application are respectfully requested in light of the following amendments and remarks. With respect to the comments made in the Advisory Action regarding minor inconsistencies in the After Final Amendment dated July 7, 2004, the same Amendment is provided herewith with corrections.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 5 of this paper.